

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

T. William Hutchens et al.

Application No.:

Filed: Herewith

For: METHOD AND APPARATUS FOR  
DESORPTION AND IONIZATION OF  
ANALYTES

Examiner:

Art Unit:

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

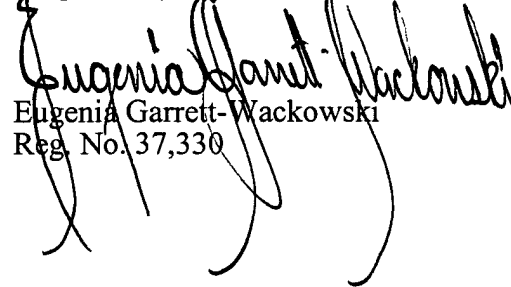
The references cited on attached forms PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/809,657, filed March 15, 2001 (Attorney Docket No. 016866-001506). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Eugenia Garrett-Wackowski  
Reg. No. 37,330

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|---|---|----|--------------------------|------------------------|---------------|
| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |   |    | <b>Complete if Known</b> |                        |               |
|   |   |    | Application Number       |                        |               |
|   |   |    | Filing Date              | Herewith               |               |
|   |   |    | First Named Inventor     | Hutchens, T. William   |               |
|   |   |    | Art Unit                 |                        |               |
| Examiner Name   |   |    |                          |                        |               |
| Sheet   | 1 | of | 4                        | Attorney Docket Number | 016866-001507 |

| U.S. PATENT DOCUMENTS <sup>+</sup> |                       |  |                             |   |   |
|------------------------------------|-----------------------|--|-----------------------------|---|---|
| Examiner Initials*                 | Cite No. <sup>1</sup> | Document Number                          | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                                    |                       | Number Kind Code <sup>2</sup> (if known) |                             |   |   |
|                                    | 1                     | US-5,719,060                             | 02-17-1998                  | Hutchens et al.                                 |   |
|                                    | 2                     | US-6,225,047                             | 05-01-2001                  | Hutchens et al.                                 |   |
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|                                    | 4                     | US-3,896,661                             | 07-29-1975                  | Parkhurst et al.                                |   |
|                                    | 5                     | US-4,022,876                             | 05-10-1977                  | Anbar   |   |
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| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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|---|---|----|---|--------------------------|----------------------|
| Substitute for form 1449A/PTO   |   |    |   | <b>Complete if Known</b> |                      |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    |   | Application Number       |                      |
|   |   |    |   | Filing Date              | Herewith             |
|   |   |    |   | First Named Inventor     | Hutchens, T. William |
|   |   |    |   | Art Unit                 |                      |
|   |   |    |   | Examiner Name            |                      |
| Sheet   | 2 | of | 4 | Attorney Docket Number   | 016866-001507        |

| FOREIGN PATENT DOCUMENTS |                          |                           |                     |                                   |                                |   |  |                          |
|--------------------------|--------------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|---|--|--------------------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup>           |
|                          |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |                                |   |  |                          |
|                          | 24                       | EP                        | 00/84086            |                                   | 07-27-1983                     |   |  | <input type="checkbox"/> |
|                          | 25                       | EP                        | 03/33912            |                                   | 09-27-1989                     |   |  | <input type="checkbox"/> |
|                          | 26                       | GB                        | 22/35528            |                                   | 03-06-1991                     |   |  | <input type="checkbox"/> |
|                          | 27                       | GB                        | 22/35529            |                                   | 03-06-1991                     |   |  | <input type="checkbox"/> |
|                          | 28                       | GB                        | 22/36184            |                                   | 03-27-1991                     |   |  | <input type="checkbox"/> |
|                          | 29                       | WO                        | 91/02961            |                                   | 03-07-1991                     |   |  | <input type="checkbox"/> |
|                          | 30                       | WO                        | 92/13629            |                                   | 08-20-1992                     |   |  | <input type="checkbox"/> |
|                          | 31                       | WO                        | 96/3777             |                                   | 11-28-1996                     |   |  | <input type="checkbox"/> |
|                          | 32                       | WO                        | 96/40888            |                                   | 12-19-1996                     |   |  | <input type="checkbox"/> |

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| Examiner<br>Signature |  | Date<br>Considered |  |
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|  |   |    | Filing Date              | Herewith               |               |
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|  |   |    | Art Unit                 |                        |               |
|  |   |    | Examiner Name            |                        |               |
| Sheet  | 3 | of | 4                        | Attorney Docket Number | 016866-001507 |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials *          | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 | 33                       | American Biotechnology Laboratory, February 1994 cover, cover-page 2 (1994)   |                |
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|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
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